

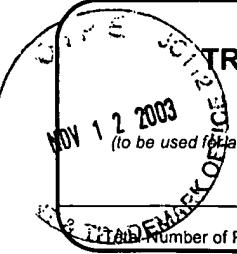
11-13-03

IN DATE: 11/11/2003
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PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031
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 <p>TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i></p>		Application Number	10/654,667
		Filing Date	09/04/2003
		First Named Inventor	Kenneth GOULD, et al.
		Art Unit	Not yet assigned
		Examiner Name	Not yet assigned
Number of Pages in This Submission	4+	Attorney Docket Number	2816-026

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below):
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Remarks

This submission contains the following items:

-Supplemental Information Disclosure Statement;
-PTO/SB/08B (2 pages);
-14 Cited References; and
-Return Receipt Postcard.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Timothy W. Graves, Esq. Registration No. 45,940
Signature	
Date	11/11/2003

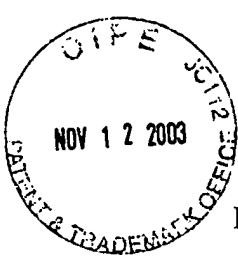
CERTIFICATE OF TRANSMISSION/MAILING

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Kenneth Gould, et al.

Serial No: 10/654,667
Filed: 09/04/2003

Art Unit: Not yet assigned
Examiner: Not yet assigned

For: Method to Block Unauthorized Network Traffic in a Cable Data Network

Commissioner For Patents
P.O. Box 1450
Alexandria VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 CFR §1.56, Applicant hereby submits the following Supplemental Information Disclosure Statement. The form PTO/SB/08 as submitted lists references Applicant thinks may be relevant. These documents are not necessarily analogous art. It is respectfully requested that these documents be considered by the Examiner, and that the Examiner indicate consideration of the cited references by returning copies of the attached form PTO/SB/08 with initials or other appropriate marks affixed. It is noted that as of November 11, 2003, no Office Action pertaining to this matter has been received. Copies of all references are enclosed.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "T W Graves".

Timothy W. Graves, Esq.
Registration No. 45,940
Roberts Abokhair & Mardula, LLC
11800 Sunrise Valley Drive, Suite 1000
Reston, Virginia 20191-5302
(703) 391-2900

IN DATE: 11/11/2003
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Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

01

of

02

Complete if Known

Application Number	10/654,667
Filing Date	09/04/2003
First Named Inventor	Kenneth GOULD, et al
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	2816-026

NOV 12 2003

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	01	MIERCOM, Lab Testing Summary Report, Report 110702, July 2002, Mier Communications, Inc., Princeton Junction, NJ	
	02	CISCO SYSTEMS, INC., Cable Monitor and Intercept Features for the Cisco CMTS, 2003, Cisco Systems, Inc., San Jose, CA	
	03	JOEL A. POGAR, Data Security in a Converged Network: A Siemens White Paper, 2003, Siemens Information and Communication Networks, Inc., Boca Raton, FL	
	04	BRIAN A. REED, Stateful Packet Inspection Explained	
	05	LARRY SMITHMIER, Linux IP Multicast HOW TO Study Guide, 1998	
	06	SETH FINKELSTEIN and LEE TIEN, Electronic Frontier Foundation White Paper 1 for NRC Project on Tools and Strategies for Protecting Kids from Pornography and Their Applicability to Other Inappropriate Internet Content	
	07	FARLEY STEWART, Internet Acceptable Use Policies: Navigating the Management, Legal and Technical Issues, St. Bernard Software, Inc., San Diego, CA	
	08	COMMUNICATIONS TECHNOLOGY, Cable Modem Security: Insulating Your Network While Keeping Your Subscribers Safe From Each Other, October 2001, Communications Technology	
	09	CHECK POINT SOFTWARE TECHNOLOGIES, LTD., Build Your Security Infrastructure with Best-of-Breed Products from OPSEC, 2003, Check Point Software Technologies, Ltd., Redwood City, CA	
	10	P. MOCKAPETRIS, Domain Names - Implementation and Specification, November 1987	

Examiner Signature		Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/654,667
				Filing Date	09/04/2003
				First Named Inventor	Kenneth GOULD, et al
				Art Unit	Not yet assigned
				Examiner Name	Not yet assigned
Sheet	02	of	02	Attorney Docket Number	2816-026

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ²
	11	BOOTP and DHCP Parameters, August 5, 2003, Internet Assigned Numbers Authority				
	12	ST. BERNARD SOFTWARE, INC., Providing Comprehensive & Customizable Precision Internet Access Control for Business and Educational Organizations: An Overview of the Issues and Opportunities, March 2002, St. Bernard Software, Inc., San Diego, CA				
	13	PARIS TRUDEAU, et al., Major Techniques for Classifying Spam, 2003, SurfControl plc.				
	14	NET NANNY SOFTWARE INC., Net Nanny 5: Child Safety Software for the Internet - User Guide, 1994-2003, Net Nanny Software, Inc., Bellevue, WA				

Examiner Signature		Date Considered	
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